

## EFFECTS OF 3 $\alpha$ - and 3 $\beta$ -GALACTOSYLATED $\alpha$ - GALACTOSYLCERAMIDES ON THE IMMUNE SYSTEM

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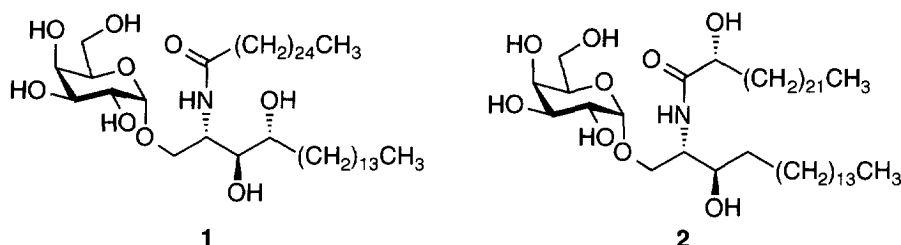
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**Abstract:** We compared effects of  $\alpha$ -galactosylceramide ( $\alpha$ -GalCer) and its 3 $\alpha$ - or 3 $\beta$ -galactosylated derivatives on the proliferation of murine spleen cells. The 3 $\alpha$ -galactosylated  $\alpha$ -GalCer showed stronger proliferative response than the parental  $\alpha$ -GalCer, but the 3 $\beta$ -galactosylated  $\alpha$ -GalCer possessed weaker activity than the  $\alpha$ -GalCer. In addition,  $\alpha$ -Gal-3-Cer did not show immunostimulatory activity. © 1999 Elsevier Science Ltd. All rights reserved.

### Introduction

We previously reported that representative  $\alpha$ -galactosylceramide ( $\alpha$ -GalCer, galactose bound to ceramide in an  $\alpha$ -configuration), named **KRN7000** (**1**) (Fig. 1), has strong immunostimulatory and antitumor activity.<sup>1,2</sup> **KRN7000** has also drawn researchers' attention as a ligand for mouse and human natural killer T (NKT) cells.<sup>3–8</sup> Our structure-activity relationship study using  $\alpha$ -GalCers with different ceramide moieties indicated that the 3 hydroxyl group (3-OH) in the ceramide plays an essential role in the manifestation of immunostimulatory activity induced by  $\alpha$ -GalCers, demonstrating that  $\alpha$ -GalCer with only the 3-OH is the minimal structure to stimulate the immune system through the activation of NKT cells.<sup>1,3</sup>



**Figure 1** Structures of **KRN7000** (**1**) and **AGL-506** (**2**)

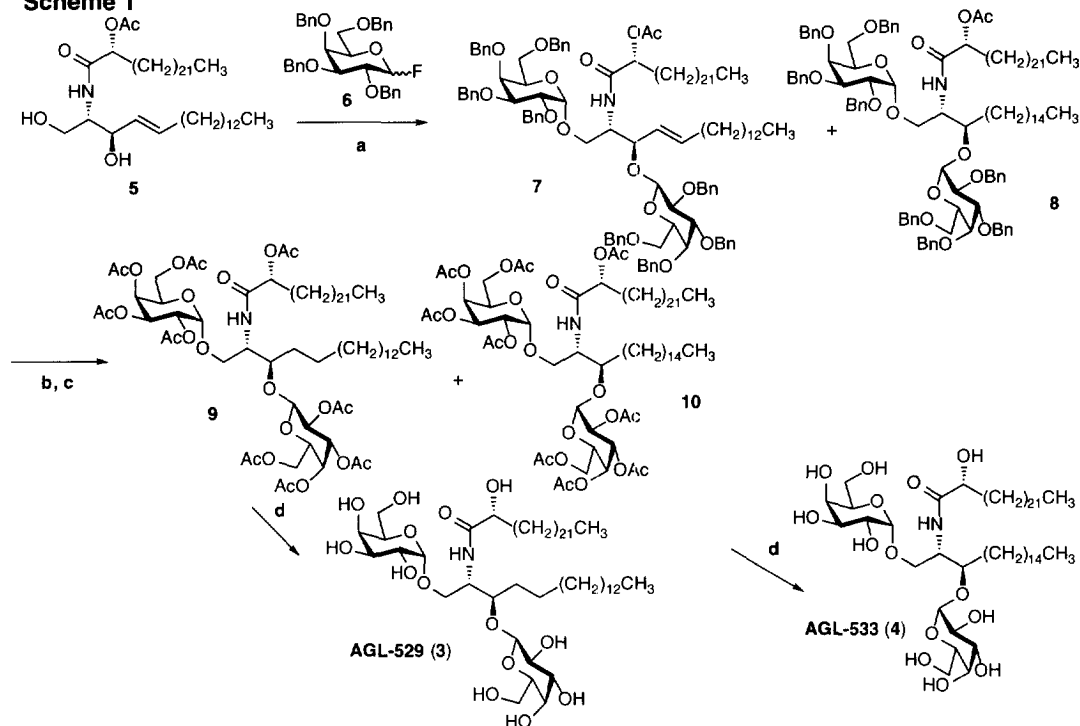
Other researchers also attempted to isolate  $\alpha$ -glycosylceramides from marine sponges, and they succeeded in the isolation of various types of  $\alpha$ -GalCers, monoglycosylated  $\alpha$ -GalCers, and diglycosylated  $\alpha$ -

GalCers,<sup>7-9</sup> and it has been reported that several mono- or diglycosylated  $\alpha$ -GalCers as well as  $\alpha$ -GalCers possess immunostimulatory effects.<sup>12-14</sup> In these studies using mono- or diglycosylated  $\alpha$ -GalCers, it was demonstrated that the 3"-glycosylated  $\alpha$ -GalCer have much less immunostimulatory activity than the parental  $\alpha$ -GalCer.<sup>13,14</sup> These findings arose a question as to whether the 3-glycosylated  $\alpha$ -GalCer also has weaker immunostimulatory activity than the parental  $\alpha$ -GalCer. In order to address this question, we synthesized two kinds of 3-galactosylated  $\alpha$ -GalCers, and compared effects among these two compounds and **AGL-506** (**2**)<sup>1</sup> (Fig. 1), the parental  $\alpha$ -GalCer, on the proliferation of murine spleen cells.

### Chemistry

The synthetic procedures of **AGL-529** (3 $\beta$ -galactosylated  $\alpha$ -GalCer, **3**) and **AGL-533** (3 $\alpha$ -galactosylated  $\alpha$ -GalCer, **4**) are shown in Scheme 1. The synthesis of ceramide (**5**) was reported previously.<sup>1</sup> The ceramide (**5**) was glycosylated with 3 eq. galactosyl donor (**6**) to give **7** and **8**. The mixture of **7** and **8** were directly hydrogenated and subsequent acetylated to give **9** and **10**. Deacetylation of **9** and **10** gave **AGL-529** (**3**)<sup>15</sup> and **AGL-533** (**4**),<sup>16</sup> respectively.

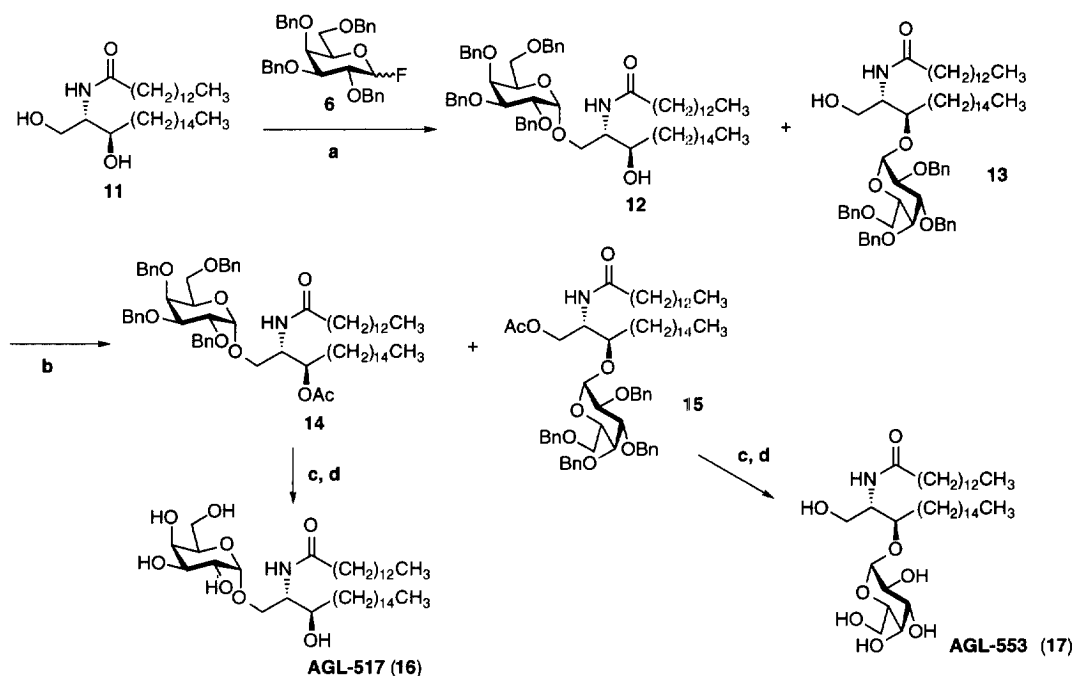
**Scheme 1**



Scheme 1; Reagents: (a) **6** (3eq),  $\text{SnCl}_2$ ,  $\text{AgClO}_4$ , MS4A / THF,  $-10^\circ\text{C}$  - r.t., 2hr, 65%; (b)  $\text{H}_2$ , Pd-black / THF, r.t., 24hr, 95%; (c)  $\text{Ac}_2\text{O}$ ,  $\text{Et}_3\text{N}$  /  $\text{CH}_2\text{Cl}_2$ , r.t., 5hr, **9** (15%), **10** (33%); (d)  $\text{NaOMe}$ , MeOH, 1hr, 90%.

The synthetic procedures of  $\alpha$ -Gal-1-Cer (**AGL-517**, **16**) and  $\alpha$ -Gal-3-Cer (**AGL-553**, **17**) are shown in Scheme 2. Briefly, the synthesis of ceramide **11** was reported previously.<sup>13</sup> The ceramide **11** was directly glycosylated with 1.2 eq (**6**) to give **12** and **13**. The mixture of **12** and **13** were directly acetylated to give **14** and **15**. Debenzylation and subsequent deacetylation of **14** and **15** gave **AGL-517** (**16**)<sup>1</sup> and **AGL-553** (**17**),<sup>17</sup> respectively.

Scheme 2



Scheme 2; Reagents; (a) **6** (1.2eq),  $\text{SnCl}_2$ ,  $\text{AgClO}_4$ , MS4A / THF,  $-10^\circ\text{C}$ - r.t., 2hr, 65%; (b)  $\text{Ac}_2\text{O}$ ,  $\text{Et}_3\text{N}$  /  $\text{CH}_2\text{Cl}_2$ , r.t., 5hr, **14** (48%), **15** (26%); (c)  $\text{H}_2$ , Pd-black / THF, r.t., 24hr, 95%; (d)  $\text{NaOMe}$ , MeOH, 1hr, 90%.

## Results and Discussion

We compared the effects of **AGL-506** ( $\alpha$ -GalCer, **2**), **AGL-529** (3 $\beta$ -galactosylated  $\alpha$ -GalCer, **3**), and **AGL-533** (3 $\alpha$ -galactosylated  $\alpha$ -GalCer, **4**) on the proliferation of mouse spleen cells. As shown in Table 1, **AGL-506** stimulated the proliferation of spleen cells in a concentration-dependent fashion, and significant stimulatory activity was observed from the concentration of 1 ng/ml. Although **AGL-529** showed significant enhancement of the proliferative effect from the concentration of 10 ng/ml, its potency was weaker than that of **AGL-506**, the parental  $\alpha$ -GalCer. By contrast, **AGL-533** significantly stimulated the proliferation of spleen cells from the concentration of 1 ng/ml, and it appeared that **AGL-533** has stronger immunostimulatory activity than **AGL-506**. It is quite interesting that the configuration of the glycosidic linkage between galactose

and ceramide in the 3-position greatly affects the  $\alpha$ -GalCer induced immunostimulatory effects, i.e., the  $\alpha$ -linkage enhances the immunostimulatory effect of  $\alpha$ -GalCer, but the  $\beta$ -linkage reduces the activity.

**Table 1.** Effects of **AGL-506** (2), **AGL-529** (3) and **AGL-533** (4) on the proliferation of murine spleen cells.

Sample	<sup>3</sup> H-TdR incorporation (cpm)		
	1 ng/ml	10 ng/ml	100 ng/ml
<b>Vehicle</b>	6962 ± 758	7858 ± 1065	6280 ± 823
<b>AGL-506</b> (2)	9454 ± 844*	20283 ± 3420*	45966 ± 2196*
<b>AGL-529</b> (3)	7892 ± 1320	13195 ± 1818*	45036 ± 231*
<b>AGL-533</b> (4)	16138 ± 944*	34892 ± 1259*	44867 ± 4529*

2.5 × 10<sup>5</sup> cells/100 μl/well of spleen cells from BALB/c mice suspended in 10 % FCS RPMI 1640 medium were plated on a 96-well plate. At the same time, various concentrations of samples (10 μl/well) were added into each well, and the cell suspension was cultured at 37 °C, 5% CO<sub>2</sub> for 2 days. Then 0.5 μCi/well of tritium-thymidine (<sup>3</sup>H-TdR) was added into each well, and 16 hours later, the <sup>3</sup>H-TdR uptake into the cells was measured by a liquid scintillation counter. Each value shows the mean ± S.D. \*, p < 0.05 (vs. vehicle treated group).

Because 3 $\alpha$ -galactosylated  $\alpha$ -GalCer (**AGL-533**) showed stronger immunostimulatory activity than the parental  $\alpha$ -GalCer (**AGL-506**) (Table 1), the result arose a question as to whether  $\alpha$ -galactosyl-3-ceramide ( $\alpha$ -Gal-3-Cer, galactose bound to ceramide in the 3-position) can stimulate the proliferation of spleen cells as  $\alpha$ -Gal-1-Cers such as **KRN7000** and **AGL-506** can do. To address this question, we synthesized two kinds of  $\alpha$ -GalCers with only the 3-OH,  $\alpha$ -Gal-1-Cer (**AGL-517**, 16) and  $\alpha$ -Gal-3-Cer (**AGL-553**, 17), by the methods shown in Scheme 2.

**Table 2.** Effects of **AGL-517** (16) and **AGL-553** (17) on murine spleen cells.

Sample	<sup>3</sup> H-TdR incorporation (cpm)		
	1 ng/ml	10 ng/ml	100 ng/ml
<b>Vehicle</b>	6962 ± 758	7858 ± 1065	6280 ± 823
<b>AGL-517</b> (16)	9018 ± 541*	44395 ± 2157*	44395 ± 2157*
<b>AGL-553</b> (17)	7722 ± 230	7412 ± 1783	6141 ± 1040

The spleen cell proliferation assay was done by the same method shown in Table 1. Each value shows the mean ± S.D. \*, p < 0.05 (vs. vehicle treated group).

We compared the effects of  $\alpha$ -Gal-1-Cer, **AGL-517** (16), and  $\alpha$ -Gal-3-Cer, **AGL-553** (17), on the proliferation of mouse spleen cells. As shown in Table 2, **AGL-517** stimulated the proliferation of spleen cells in a concentration-dependent fashion, and significant stimulatory activity was observed from the concentration of 1 ng/ml. By contrast, **AGL-553** did not stimulate the proliferation of spleen cells even at the highest concentration of 100 ng/ml. Although several research groups have published the reports on various types of

$\alpha$ -GalCers and their derivatives which were isolated from marine sponges<sup>9-13,18,19</sup> or were totally synthesized,<sup>1,14,20</sup> all compounds are  $\alpha$ -Gal-1-Cers and their derivatives. Since there is no report on  $\alpha$ -Gal-3-Cer as far as we know, this is the first finding that  $\alpha$ -Gal-3-Cer (at least 100 ng/ml) has no immunostimulatory effect. The results shown in Table 1 and 2 demonstrate that  $\alpha$ -binding of galactose not in the 3-position but in the 1-position is essential for the manifestation of immunostimulatory activity by  $\alpha$ -GalCers, and that the 3-position is a preferable site to enhance the activity by the glycosylation.

It was demonstrated that CD1d-transfectant cells pretreated with **KRN7000** activate murine V $\alpha$ 14 positive NKT cell clones and human V $\alpha$ 24 positive NKT cell clones, suggesting that CD1d molecule plays an essential role in the activation of these NKT cells.<sup>4-8</sup> In addition, it was recently proven that radiolabeled **KRN7000**<sup>21</sup> and biotinylated  $\alpha$ -GalCers<sup>22</sup> actually bind to mouse CD1 and human CD1d molecules. These results suggest that the complex of  $\alpha$ -GalCer and CD1d molecule is recognized by V $\alpha$ 14 or V $\alpha$ 24 T cell receptor on NKT cells. Our computer-aided molecular modeling demonstrated that active compounds such as  $\alpha$ -GalCer and  $\alpha$ -glucosylceramide ( $\alpha$ -GlcCer) share active conformations which are not accessible to  $\beta$ -GalCer and  $\beta$ -GlcCer.<sup>23</sup> Furthermore, the crystallographic structure of mouse CD1 was recently solved by Zeng et al.<sup>24</sup> Taken these findings together, it seems to be possible to construct the binding model of CD1d/ $\alpha$ -GalCer complex using these data. It is considered that the present study using **AGL-506** (**2**), **AGL-529** (**3**), **AGL-533** (**4**), **AGL-517** (**16**) and **AGL-553** (**17**) will contribute to the modeling study of CD1d/ $\alpha$ -GalCer complex and will be useful to investigate the interaction mechanism among CD1d molecules,  $\alpha$ -GalCer and T cell receptor.

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15. **AGL-529 (3)**: [ $\alpha$ ]<sub>D</sub><sup>25</sup>+44.3° (c 0.42, pyridine); FDMS m/z 993 (MH<sup>+</sup>); IR (KBr) 3340, 2890, 2810, 1630, 1515, 1460, 1140, 1060 cm<sup>-1</sup>; <sup>1</sup>H NMR(500MHz, C<sub>5</sub>D<sub>5</sub>N)  $\delta$  8.64 (1H, d, J=9.2Hz), 5.24 (1H, d, J=3.7Hz), 4.82 (1H, d, J=7.9Hz), 4.75-4.80 (1H, m), 4.72 (1H, dd, J=7.9Hz, 12.2Hz), 4.67 (1H, dd, J=3.7, 7.9Hz), 4.47-4.60 (4H, m), 4.37-4.46 (3H, m), 4.26-4.36 (3H, m), 4.12-4.21 (3H, m), 3.94 (1H, dd, J=6.7Hz, 10.4Hz), 2.24-2.32 (1H, m), 2.05-2.12 (1H, m), 1.96-2.04 (1H, m), 1.70-1.80 (1H, m), 1.48-1.60 (1H, m), 1.20-1.40 (66H, m), 0.88 (6H, t, J=5.5Hz).
16. **AGL-533 (4)**: [ $\alpha$ ]<sub>D</sub><sup>25</sup>+80.6° (c 1.0, pyridine); FDMS m/z 993 (MH<sup>+</sup>); IR (KBr) 3330, 2900, 2830, 1635, 1520, 1460, 1035 cm<sup>-1</sup>; <sup>1</sup>H NMR(500MHz, C<sub>5</sub>D<sub>5</sub>N)  $\delta$  8.57 (1H, d, J=9.8Hz), 5.78 (1H, d, J=3.7Hz), 5.46 (1H, d, J=3.1Hz), 4.95 (1H, m), 4.66-4.73 (2H, m), 4.60-4.65 (3H, m), 4.40-4.60 (8H, m), 4.25-4.40 (3H, m), 1.95-2.23 (2H, m), 1.65-1.90 (2H, m), 1.20-1.50 (66H, m), 0.882 (3H, t, J=6.7Hz), 0.876 (3H, t, J=6.7Hz).
17. **AGL-553 (17)**: [ $\alpha$ ]<sub>D</sub><sup>24</sup>+24.4° (c 0.05, pyridine); FDMS m/z 675 (MH<sup>+</sup>); IR (KBr) 3375, 2900, 2825, 1620, 1540, 1460, 1030 cm<sup>-1</sup>; <sup>1</sup>H NMR(500MHz, C<sub>5</sub>D<sub>5</sub>N)  $\delta$  8.52 (1H, d, J=8.6Hz), 5.59 (1H, d, J=3.7Hz), 4.78-4.85 (1H, m), 4.65-4.75 (2H, m), 4.61 (1H, t, J=6.1Hz), 4.44-4.54 (2H, m), 4.41 (1H, d, J=4.9, 9.8Hz), 4.34 (1H, dd, J=5.5, 11.0Hz), 4.10-4.25 (2H, m), 2.45 (2H, t, J=7.3Hz), 1.70-2.10 (4H, m), 1.62 (1H, m), 1.05-1.45 (45H, m), 0.87 (6H, t, J=6.1Hz).
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